JAP7 Rec'd PCT/PTO 24 MAY 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES HAMM0012UPCTUS DESIGNATED/ELECTED OFFICE (DO/EO/US) SFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/EP2004/13296 November 25, 2003 November 23, 2004 TITLE OF INVENTION Fabrication of Cooling and Heat Transfer Systems by Electroforming APPLICANT(S) FOR DO/EO/US Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. l A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18 A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

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U.S. APPLICATION NO. (if known, 0.3 of 77) PCT/EP2004/13296					ATTORNEY'S DOCKET NUMBER		
					HAMM0012UPCTUS		
Other items or information: 37 CFR 1.33 Request to use customer number 31518 for correspondence and attorneys of record Copy of Specification and Figures from International Application 37 CFR 1.98(A)(1) Reference Citation Listing References P-001, F-001-F-002, and O-001-O-003 Copies of References F-001-F-002 and O-001-O-003 Unexecuted Italian Language Declaration and Power of Attorney Advance Serial Number Card							
The following fees have been submitted					CALCU	LATIONS	PTO USE ONLY
21. X Basic national fee (37 CFR 1.492(a))				\$300	\$	300.00	
Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 All other situations					\$	200,00	
23. X Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$	400.00	
TOTAL OF 21, 22 and 23 =						900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction RATE							
400	450	thereof (round	up to a whole number)	,		į	
- 100 = /50 = x \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					\$		
CLAIMS NUMBER FILED NUMBER EXTRA RATE				\$		<u></u>	
Total claims	22	- 20 =	2	× \$ 50	\$ 100.00		
Independent claims 3		- 3 =	0	x \$200	\$	_	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360					\$		
TOTAL OF ABOVE CALCULATIONS =					\$	100.00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.							
SUBTOTAL =					\$	1000.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$		
TOTAL NATIONAL FEE =					\$	1000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$		<u> </u>
TOTAL FEES ENCLOSED =					\$	1000.00]
					Amount to be refunded:		\$
					Amount to be charged		\$

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1000.00 a. X A check in the amount of \$ _ to cover the above fees is enclosed. Please charge my Deposit Account No. in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2106 ... A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. 5/24/06 SEND ALL CORRESPONDENCE TO: Neifeld IP Law, PC SIGNATURE 4813-B Eisenhower Avenue kienard A. Neifeld Alexandria, Virginia 22304 NAME 35,299 REGISTRATION NUMBER

10/580346 IAPOREC'OPCT/PTO 24 MAY 2006

NEIFELD IP LAW, P.C. 4813-B Eisenhower Avenue Alexandria, Virginia 22304

Tel: 703-415-0012 Fax: 703-415-0013 Email: rneifeld@Neifeld.com Web: www.Neifeld.com

37 CFR 1.7(c) FILING RECEIPT AND TRANSMITTAL LETTER WITH AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT

Neifeld Docket No.: HAMM0012UPCTUS

Client Ref::

US/PCT Application/Patent No.: Unknown

US/PCT File/Issue Date: Herewith

Priority Data: National Stage of PCT/EP2004/13296 filed 11/23/04 USPTO Confirmation No.:

Inventor:BANHAM et al.

Title: Fabrication of Cooling and Heat Transfer Systems by Electroforming

THE FOLLOWING HAS BEEN RECEIVED IN THE U.S. PATENT OFFICE ON THE DATE STAMPED HEREON:

37 CFR 1.7(c) Filing Receipt and Transmittal LEtter with Authorization to Charge Deposit Account (in duplicate) Check for \$1,000.00

Transmittal Letter to the United States Designated/Elected Office Concerning a Submission Under 35 USC 371 (3 pages, in

37 CFR 1.115 Preliminary Amendment (10 Pages)

37 CFR 1.33 Request to Use Customer Number 31518 for Correspondence and Attorneys of Record

37 CFR 1.97 Information Disclosure Statement and Authorization to Charge Deposit Account Listing References P-001, F-001, F-002, and P-001-P-003 (in duplicate)

Copies of References F-001, F-002, and P-001-P-003

Substitute Specification (22 pages)

Specification, Figures and Claims of PCT/EP2004/13296 filed November 23, 2004, published as WO 2005/054547 (17 pages)

Unexecuted Italian Language Declaration and Power of Attorney (3 pages)

Advance Serial Number Card

The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 50-2106. A duplicate copy of this sheet is enclosed.

Richard A. Neifeld Registration No.35,299 Attorney of Record

PcLaw Matter

Lawyer

Amount

Explanation

Date Entered

Initials

Fees: **HAMM0012**

BTM

\$600.00

HAMM0012UPCTUS firm charge for

5/23/2006

Fees:

docketing and filing a US national stage

BTM

Disbursements: PcLaw Matter

G/L Account Amount

Explanation

application.

Date Entered

Initials

HAMM0012

5010 \$1,000.00 HAMM0012UPCTUS gov. fee for

5/23/2006

docketing and filing a US national stage

BTM

Printed: September 30, 2005 (1:31pm)

application.

Y:\Info\FirmForms\Forms Patent\US\Templates-DoNotUse\Combined 37 CFR1 6 FilingReceiptOfCorrespondence_TransmittalLetter.wpd

NEIFELD REF.: HAMM0012UPCTUS

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: Banham et al.

USPTO CONFIRMATION CODE: Unknown

US OR 371 APPLICATION NO: Filed Herewith

US OR 371(C)(4) FILING DATE: Filed Herewith

GROUP ART UNIT: Unknown

TITLE: Fabrication of Cooling and Heat Transfer Systems by Electroforming

37 CFR 1.33 REQUEST TO USE CUSTOMER NUMBER 31518 FOR CORRESPONDENCE AND ATTORNEYS OF RECORD

Please use the address associated with the customer number 31518 for the correspondence address.

Please recognize the attorneys associated with the customer number 31518 as attorneys of record.

NEIFELD IP LAW, PC CUSTOMER NUMBER: 31518

NEIFELD IP LAW, PC CURRENT POSTAL ADDRESS:

Neifeld IP Law, PC

4813-B Eisenhower Avenue

Alexandria, Virginia 22304

Date:

Richard Neifeld

Registration No. 35, 299

Attorney of Record